

Webinar “Get inspired and get aboard:
Everything you need to know about
2023–2024 ERA calls”
BEST PRACTICE EXAMPLE



HELLO!

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ABOUT THE PROJECT

OPUS – About the project

The call “**Support to changes in the assessment of research and researchers to reward the practice of open science**” has been identified on the **EU funding & tender portal** in June 2021.

- **Programme:** Horizon Europe Framework Programme
- **Call:** European Research Area (HORIZON-WIDERA-2021-ERA-01)
- **Topic ID:** HORIZON-WIDERA-2021-ERA-01-45
- **Type of action:** CSA (Coordination and Support Actions)
- **Indicative number of grants:** one
- **Indicative budget:** 2 million €
- **Deadline:** 23 September 2021 (3 months)

About Open and Universal Science (OPUS)

The background of the slide is a dark blue image of a plasma globe. A hand is visible on the left, with fingers reaching towards the glowing blue and purple filaments of the globe. The text is overlaid on the left side of the globe.

Open and Universal Science

OPUS helps reform the assessment of research towards a system that incentivise researchers to practice #OpenScience

JOIN OUR EXPERT GROUP

A smaller version of the OPUS logo is located in the bottom left corner of the slide.A small white menu icon consisting of three horizontal lines is located in the bottom right corner of the slide.

About Open and Universal Science (OPUS)

The OPUS project involves **18** different European **partners**, covering a wide range of organisations with relevant expertise to this project.

The project **started on 1 September 2022** with an implementation period of **36 months**.

www.opusproject.eu



INITIAL PHASE

OPUS – Initial phase

- ❑ **ICoRSA** identified this call as a potential project
- ❑ **Core team partners: Plocan**, Spain, **Resolvo Srl**, Italy, **Technopolis Group**, Belgium and **CRAC-Vitae**, UK
- ❑ Previous joint project proposals and other activities → **experience and trust**

OPUS – Initial phase

First steps:

- Detailed understanding of the **scope, expected outcome and impact**
- Detailed understanding of the **general conditions** (admissibility, eligible countries - UK and non-EU, other legal and financial conditions, etc.)

OPUS – Initial phase

First steps:

- Joint meeting of the core team to discuss general idea and potential partners
- Abstract including aim, objectives and confirmed / invited partners
 - Abstract should not include too many details and information (1 -2 pages)

OPUS – Initial phase

Note:

- For OPUS, the option of a **partner search** in the portal has **not** been used since the consortium was formed very quickly through the core team network

OPUS – Initial phase

Division of tasks

Administration

Portal

Partners

Forms

Budget

→ Coordinator

Proposal writing

Writing process

Excellence

Objectives

Methodology

Impact

Work packages

→ Team experts

Communication and monitoring

Meetings with partners

Monitoring of the overall progress

→ ICoRSA



PROJECT PREPARATION PHASE

OPUS – Project preparation phase

Part B – general note

- For OPUS, we divided the writing process to our core writing team according to their expertise
- No outsourcing
- Regular joint meetings to update on progress

OPUS – Project preparation phase

Part B - final document

- List of partners, acronyms and ToC (1,5 page)
- Section 1 – Excellence (8 pages)
- Section 2 – Impact (6,5 pages)
- Section 3 – Quality and efficiency of the implementation (14 pages)

OPUS – Project preparation phase

Section 1 – Excellence

Table of content

1. OPUS in a nutshell
2. Objectives of OPUS
3. Key features and selling points of OPUS
4. OPUS context (background leading to objectives)
5. Detailed description of the objectives
6. Coordination and/or support measures and methodology

OPUS – example from the project proposal

Key features and selling points of OPUS

- Experienced project coordinator running projects linked to research(er) assessment and Open Science
- Large consortium of diverse organisations representing researchers, RPOs, RFOs, industry, and experts
- Bringing together of grass-roots organisations representing researchers with RPOs, RFOs, and industry
- Expertise of individuals and organisations in the consortium on research(er) assessment and Open Science
- Community engagement and consensus-building via stakeholder workshops and mutual learning exercises
- Strategic oversight and network links through an Advisory Group of experts and stakeholder representatives
- Leveraging of past and existing policies, actions, schemes, projects, and networks to build a new framework
- Comprehensive approach to develop interventions, indicators and metrics, and action plans for Open Science
- Piloting* of measures at 3 RPOs and 2 RFOs which are at an early stage of implementing Open Science
- Further development of the OSPP OS-CAM into a comprehensive OS-CAM2 for research and researchers
- Tailoring of policies and workshops to build trust and dispel mistrust in Open Science by a professional team

* The 5 pilots have confirmed their intention to implement the agreed actions in the pilots via letters of intent:
RCL [Letter of Intent](#) | UEF [Letter of Intent](#) | UNIRI [Letter of Intent](#) | UNL [Letter of Intent](#) | UCY [Letter of Intent](#)

OPUS

Excerpts from the Evaluation Summary Report

OPUS – Project preparation phase

Section 1 – Excellence

- *project objectives are systematically described in a clear, transparent and well-formulated manner, demonstrating how they will be achieved, measured and verified*
- *overall concept is sound and builds impressively on existing policies, projects and institutional initiatives*
- *project demonstrates an excellent understanding of the EU open science policy environment and of the work conducted so far*

OPUS – Project preparation phase

Section 1 – Excellence

- *methodology is clearly described, and **proceeds logically**, with work packages that build incrementally on each other and add credibility to the steps intended to achieve the objectives*
- *soundness and importance of creating **trust** in Open Science is appropriately acknowledged*
- *proposal **commits** to the development of indicators and metrics, both a core set and optional ones to implement and the implementation process is well-described*

OPUS – Project preparation phase

Section 1 – Excellence

Shortcomings

- *the Tree of Trust model is not however fully integrated into the methodology, but this is a minor shortcoming*
- *the proposal commits to the FAIR principles but it is a shortcoming that no clearer information is provided on what kind of data it intends to create and how FAIR the major datasets will be implemented*

OPUS – Project preparation phase

Section 2 – Impact

Table of content

1. Medium and long-term impacts
2. Barriers arising beyond the scope and duration of OPUS that may limit expected impacts
3. Dissemination, exploitation and communication
4. Summary of key elements of OPUS impact pathway and measures to maximise impact

OPUS – example of tables from the project proposal

Scientific Impact:

	Description	Targets
Medium-term		
Long-term		

Techno-Economic Impact:

	Description	Targets
Medium-term		
Long-term		

Societal Impact:

	Description	Targets
Medium-term		
Long-term		

Table 2.2a: Dissemination strategy

Method	KPI	Target	Strategy
Website	Site visits (per month)	750	Promote the website via social media and media departments of partner organisations
Social media Twitter and LinkedIn	Followers	500	Promote sites via trending hashtags and live tweeting from high profile events etc.

Table 2.2b: Targets stakeholders, channels, and information type

Target Group	Method	Type of Information
RPO and RFO	Website, Factsheet, Newsletter, Open-access publications, Conference presentations, Social media (WP7)	Project description and updates Project publications Project questionnaires

Table 2.2-c: OPUS Communications strategy

Dissemination	How to measure	Objective KPIs	Strategy
Public meetings	No. of public meetings	1 per year, per RPO&RFO partner = 15	Public meetings will be in the countries and languages of the partners.
Social media	LinkedIn followers Twitter retweets Facebook likes	+100 per year 1000 per year 500 per year	Leveraging through the project partner networks

2.3 Summary of key elements of OPUS impact pathway and measures to maximise impact

Specific Needs	Expected Results	DC&E measures	Target groups	Outcomes	Impacts
Open Science implementation in RPO and RFO needs to be piloted effectively in order for other institutions to learn from evidence-based success and follow.	The 3 RPO and 2 RFO will complete trial of institutional change to adopt Open Science, with evidence base of success: - Intervention list - Action plans to implement - Evaluation report is success	D: WP5&7 Scientific publication with the results of the 5 pilot demonstrations Participating at conferences	- Scientific community - Industry - Policy	YERUN will advise remaining university members to adopt Open Science model 2 funding bodies will recommend to other funding bodies to adopt OPUS Open science model	Economic/Technological: OPUS models for OS implementation in RPO and RFO will increase R&I by 10% Societal: reduction in precarity, and improved career progression

OPUS

Excerpts from the Evaluation Summary Report

OPUS – Project preparation phase

Section 2 – Impact

- *narrative is very well articulated, **credibly linking the results to impact**, beyond the immediate scope and duration of the project*
- *relevant barriers to impact are identified and mitigations are presented, barriers are identified for individual participants, which is a **strength***
- *availability of the website for at least 5 years after the end of the project is **positive as a sustainability measure***

OPUS – Project preparation phase

Section 2 – Impact

- *methodology proposed offers the potential to deliver **significant impact on approaches to research assessment** within the pilot RPOs and RFOs*
- *first draft of the Dissemination and Communication Plan is well elaborated and the project outlines four **additional methods** of communicating with the larger public, which is **welcome**, and offers the opportunity for real citizen engagement*

OPUS – Project preparation phase

Section 2 – Impact

Shortcomings

- *narrative elements of the impact pathways are convincing, but the corresponding tables and indicators contain a number of measures which are not justified and insufficient detail is provided on the calculation methods and underlying data to be used*
- *mechanisms for communication beyond the consortium members are generally appropriate, but lack specificity, since the proposed engagement approaches are generic in nature*

OPUS – Project preparation phase

Section 3 – Quality and efficiency of the implementation

Table of content

1. Gantt chart
2. WP description
3. List of deliverables, milestones, risks, staff effort
4. Capacity of participants and consortium as a whole

OPUS – example of tables and graphics from the project proposal

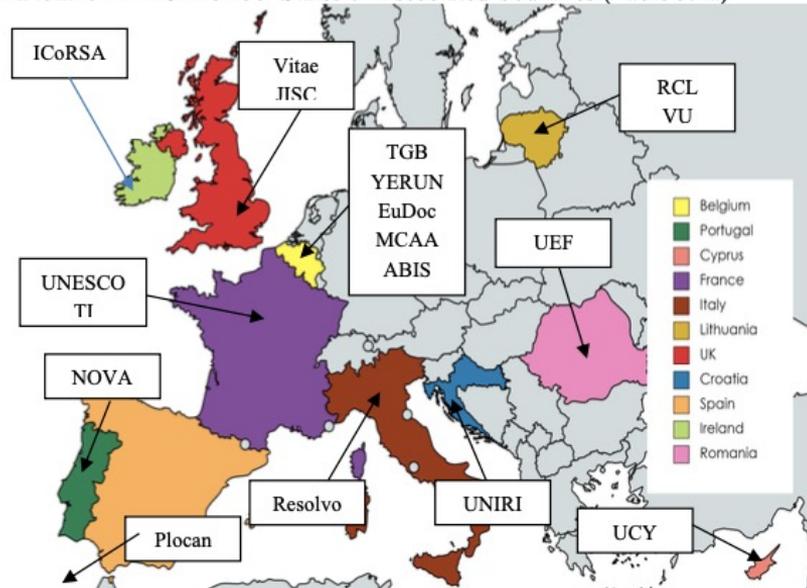
3.2 Capacity of participants and consortium as a whole

3.2.1 Consortium partner demographics, stakeholder type, and partner roles

OPUS has a total of 18 entities from a total of 11 EU Member States or Associated Countries (Table 3.2a)

1	Belgium	5
2	Lithuania	2
3	UK	2
4	France	2
5	Ireland	1
6	Croatia	1
7	Portugal	1
8	Italy	1
9	Spain	1
10	Cyprus	1
11	Romania	1
	Grand Total	18

Table 3.2a:
OPUS partner demographics:
Count of EU member state participation



OPUS – Project preparation phase

Table 3.2b: 12 Partner stakeholder type in OPUS consortium

Research Performing Organisation	Research Institute	Research Funding Organisation	International Organisation	Non-for-profit SME 1	Non-for-profit SME 2	Consultancy
UNL	PLOCAN	UEF	UNESCO	ICoRSA	YERUN	TGB
UNIRI		RCL		EuDoc	JISC	TI
UCY				MCAA	Resolvo	
VU				VITAE		
4	1	2	1	4	3	2

OPUS – Project preparation phase

Table 2c: OPUS partner relevant expertise matching OPUS project required skill sets

	Open Science	Metrics and Indicators	Event organisation	D&C	M&E	Gender Equality	Industry Business	Coord PM
PLOCAN			✓	✓			✓	✓
UNESCO	✓	✓		✓		✓		✓
ICoRSA			✓	✓		✓		✓
VITAE	✓	✓	✓	✓	✓	✓		
UNL,UCY,UNIRI				✓				
TGB	✓	✓			✓			
YERUN	✓	✓		✓				
JISC		✓		✓				
EuDoc, MCAA	✓		✓	✓		✓		
UEF, RCL				✓				
ABIS	✓			✓			✓	
TI	✓	✓		✓	✓		✓	
VU						✓		
Resolvo			✓	✓				✓

OPUS – Project preparation phase

Table 3.2d OPUS partner expertise and how they will apply it in OPUS

Partner name	Objective1: (WP1) SoA of OS and research career	Objective2: (WP2) Develop Interventions	Objective3: (WP3) Develop Metrics, Indicators,	Objective4: (WP4) Action plan creation and implementation	Objective5: (WP5) Monitor, evaluate, mutual learn	Objective6: (WP5) Policy briefs & OS-CAM2 and build trust
PLOCAN		Will co-Organise the Intervention workshops for OPUS				Experts in policy creation , will assist UNESCO in Brief development
UNESCO	UNESCO's Recommendation on OS to OPUS	Brings the ROS to intervention workshops provides international dimension	Brings the ROS to metrics and indicators workshops, provides international dimension			Global expert in Policy Briefs and their synthesis, and its dissemination
ICoRSA, EuDoc, MCAA	Umbrella organisations extensive own research in OS	Experts in workshop organisation and compiling QH		Bring experience from GRRIP project on Action plan creation and implementation		

OPUS

Excerpts from the Evaluation Summary Report

OPUS – Project preparation phase

Section 3 – Quality and efficiency of the implementation

- *quality and effectiveness of the work plan is excellent and demonstrates a **very coherent and well-balanced layout** of the WPs*
- *proposal presents the work packages not as isolated components but as **interrelated and interdependent**, which is a **significant strength***
- *allocation of resources is **fully aligned** with the methodology and task description*

OPUS – Project preparation phase

Section 3 – Quality and efficiency of the implementation

- *effective tables* provide clear and comprehensive information on the consortium composition, partner stakeholder type, partner relevant expertise matching project required skill sets, and how the expertise of each partner will be exploited throughout the project
- inclusion of an advisory board will provide *valuable critical commentary* and links to the wider community

OPUS – Project preparation phase

Section 3 – Quality and efficiency of the implementation

Shortcomings

- *limited consideration has been given to arrangements for the processing of personal data, which is a minor shortcoming*

THANKS!

Any questions?

You can find us at

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